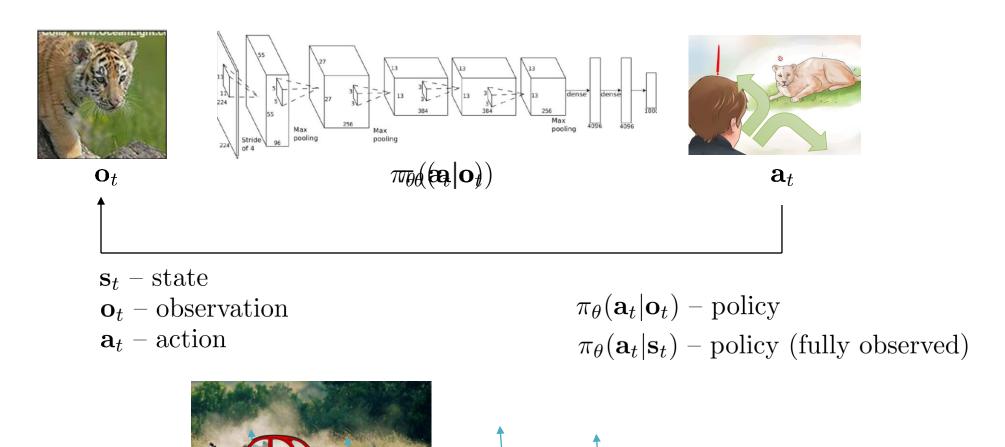
Supervised Learning of Behaviors

CS 285

Instructor: Sergey Levine UC Berkeley



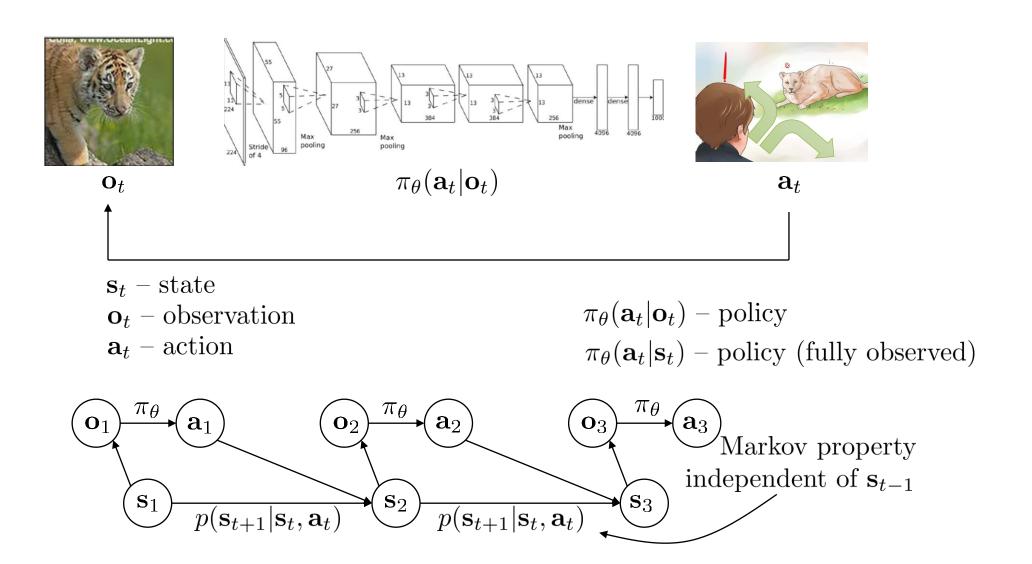
Terminology & notation



 \mathbf{o}_t – observation

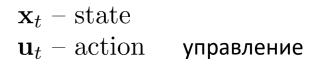
 \mathbf{s}_t – state

Terminology & notation



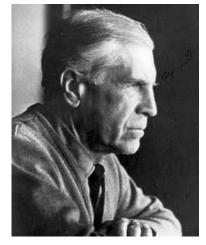
Aside: notation

 $\mathbf{s}_t - ext{state} \ \mathbf{a}_t - ext{action}$



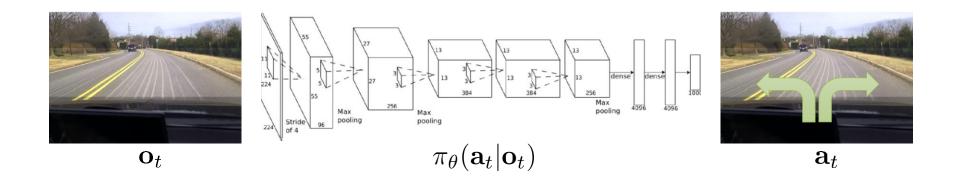


Richard Bellman



Lev Pontryagin

Imitation Learning

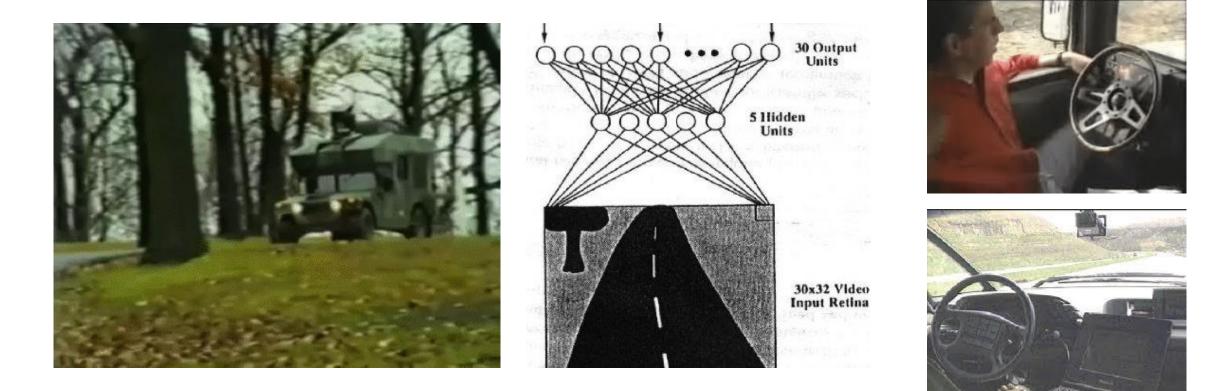




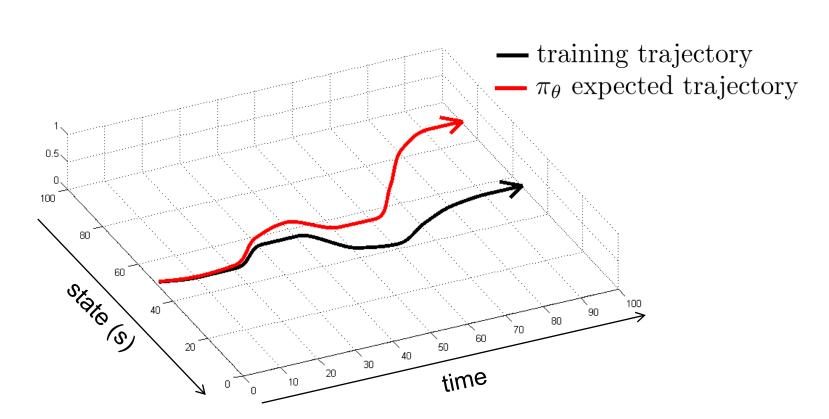
behavioral cloning

The original deep imitation learning system

ALVINN: Autonomous Land Vehicle In a Neural Network 1989

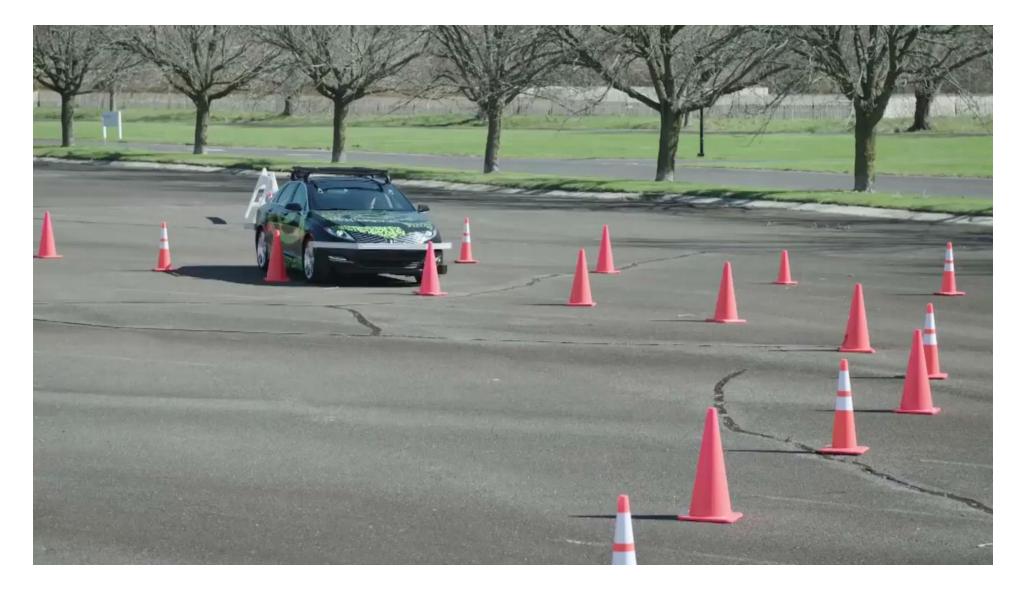


Does it work?

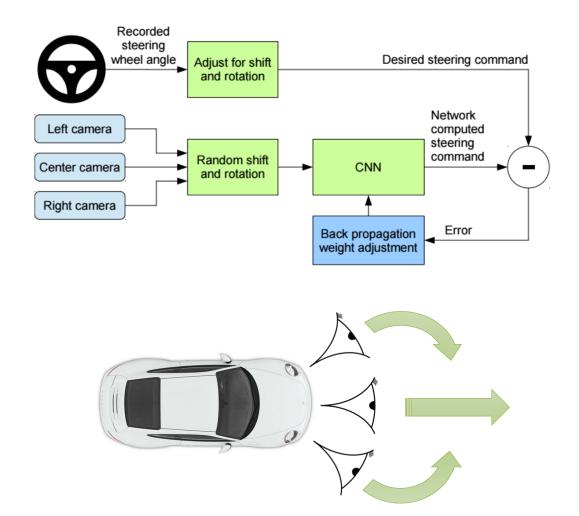


No!

Does it work? Yes!

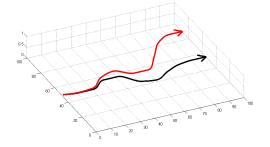


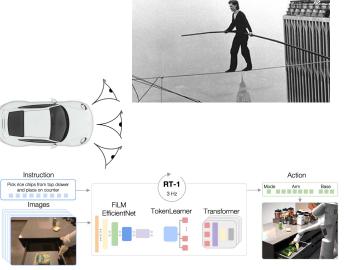
Why did that work?



The moral of the story, and a list of ideas

- Imitation learning via behavioral cloning is not guaranteed to work
 - This is different from supervised learning
 - The reason: i.i.d. assumption does not hold!
- We can formalize why this is and do a bit of theory
- We can address the problem in a few ways:
 - Be smart about how we collect (and augment) our data
 - Use very powerful models that make very few mistakes
 - Use multi-task learning
 - Change the algorithm (DAgger)

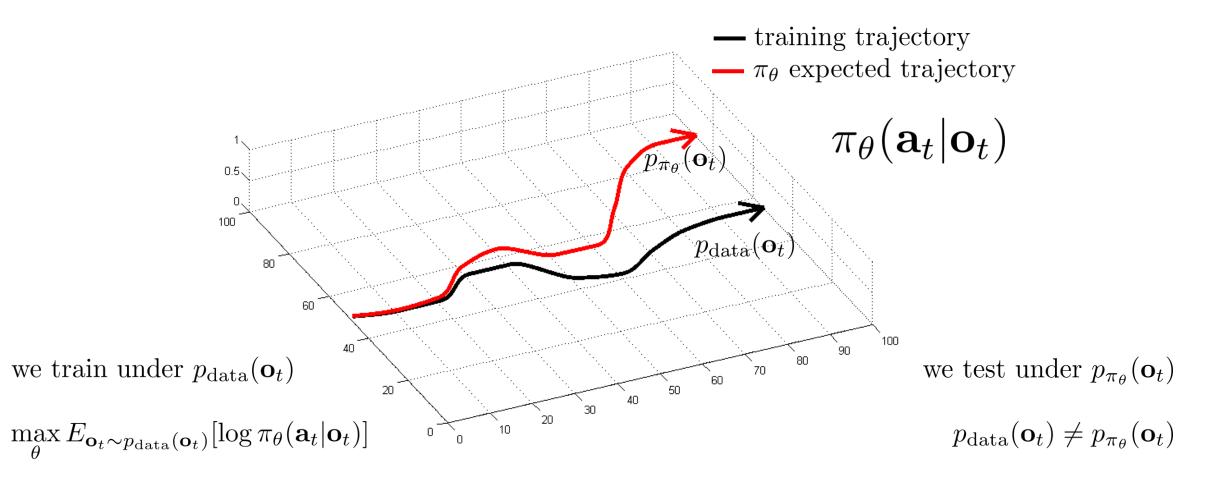






Why does behavioral cloning fail? A bit of theory

The distributional shift problem



Let's define more precisely what we want



What makes a learned $\pi_{\theta}(\mathbf{a}_t | \mathbf{o}_t)$ good or bad?

$$c(\mathbf{s}_t, \mathbf{a}_t) = \begin{cases} 0 \text{ if } \mathbf{a}_t = \pi^*(\mathbf{s}_t) \\ 1 \text{ otherwise} \end{cases}$$

Goal: minimize $E_{\mathbf{s}_t \sim p_{\pi_{\theta}}(\mathbf{s}_t)}[c(\mathbf{s}_t, \mathbf{a}_t)]$

Note: I started mixing up **s** and **o** I warned you about that...

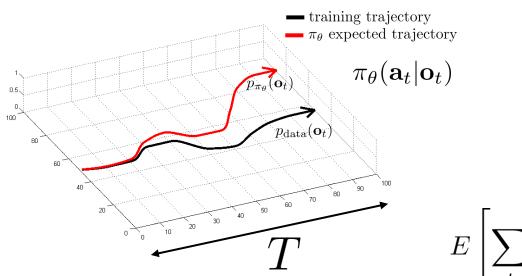
 $\pi_{ ext{data}}(\mathbf{o}_t)$ for $\pi_{ heta}(\mathbf{a}_t|\mathbf{o}_t)$

"Minimize the number of mistakes the policy makes when we run it"

 $\max_{\rho} E_{\mathbf{0},\sigma,\sigma}$

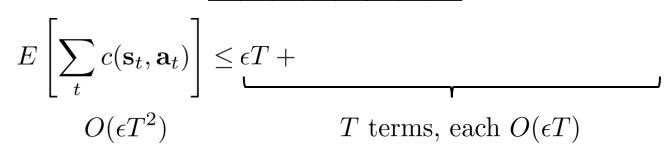
Some analysis

$$c(\mathbf{s}, \mathbf{a}) = \begin{cases} 0 \text{ if } \mathbf{a} = \pi^{\star}(\mathbf{s}) \\ 1 \text{ otherwise} \end{cases}$$



assume: $\pi_{\theta}(\mathbf{a} \neq \pi^{\star}(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \in \mathcal{D}_{\text{train}}$

>	\sum						



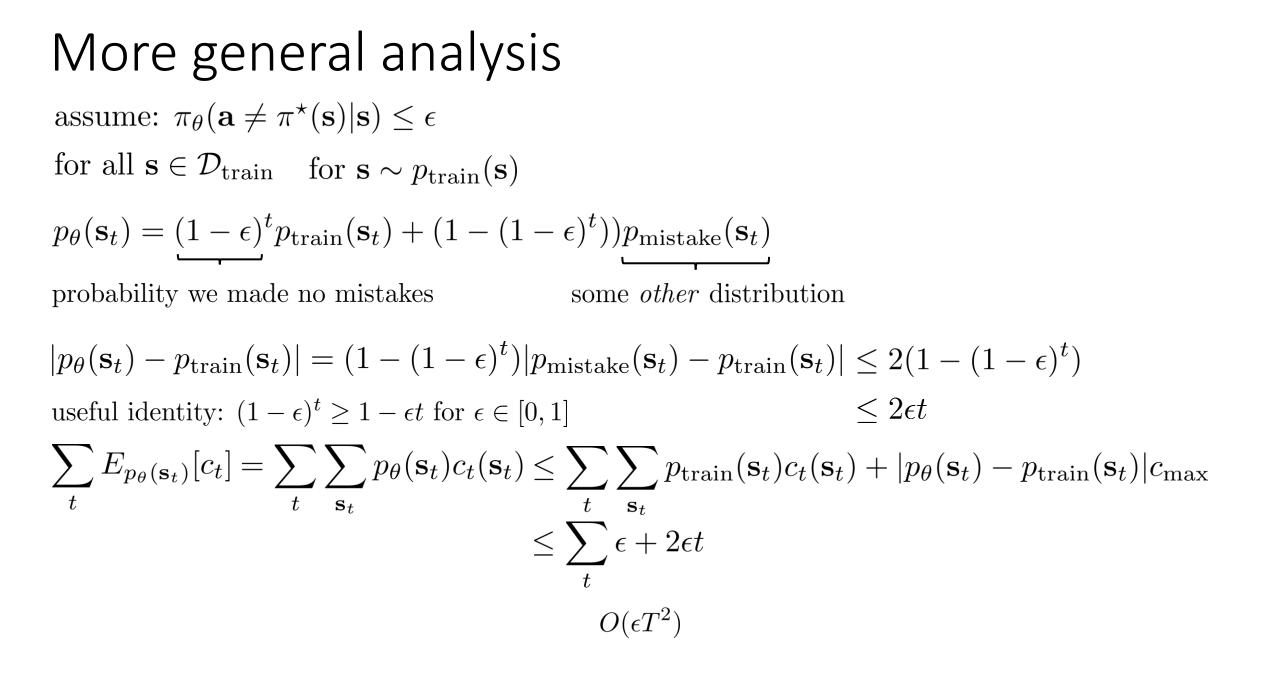


More general analysis assume: $\pi_{\theta}(\mathbf{a} \neq \pi^{\star}(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \in \mathcal{D}_{\text{train}}$ for $\mathbf{s} \sim p_{\text{train}}(\mathbf{s})$ actually enough for $E_{p_{\text{train}}(\mathbf{s})}[\pi_{\theta}(\mathbf{a} \neq \pi^{\star}(\mathbf{s})|\mathbf{s})] \leq \epsilon$ if $p_{\text{train}}(\mathbf{s}) \neq p_{\theta}(\mathbf{s})$: $p_{\theta}(\mathbf{s}_t) = (1-\epsilon)^t p_{\text{train}}(\mathbf{s}_t) + (1-(1-\epsilon)^t)) p_{\text{mistake}}(\mathbf{s}_t)$

probability we made no mistakes

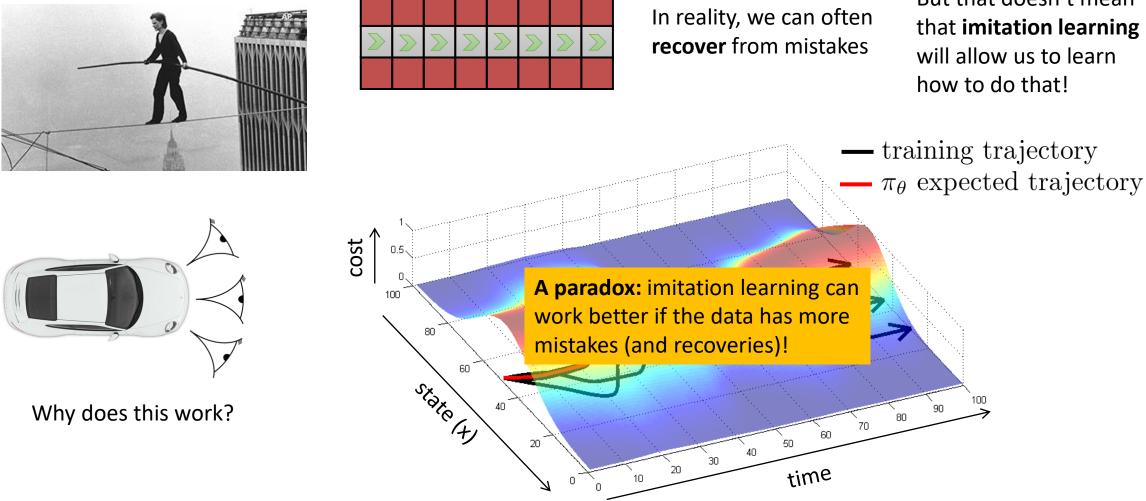
some other distribution

 $c(\mathbf{s}, \mathbf{a}) = \begin{cases} 0 \text{ if } \mathbf{a} = \pi^*(\mathbf{s}) \\ 1 \text{ otherwise} \end{cases}$



For more analysis, see Ross et al. "A Reduction of Imitation Learning and Structured Prediction to No-Regret Online Learning"

Why is this rather **pessimistic**?

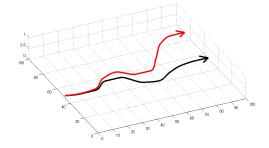


But that doesn't mean that **imitation learning** will allow us to learn how to do that!

Addressing the problem in practice

Where are we...

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RT-1

FiLM

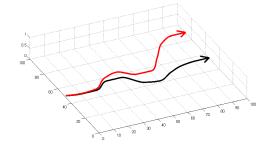
EfficientNet

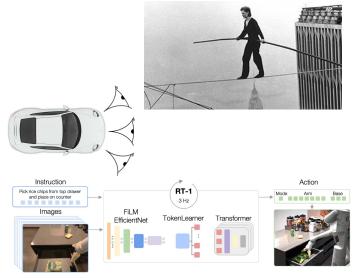
Instruction

Pick rice chips from top drawer

Where are we...

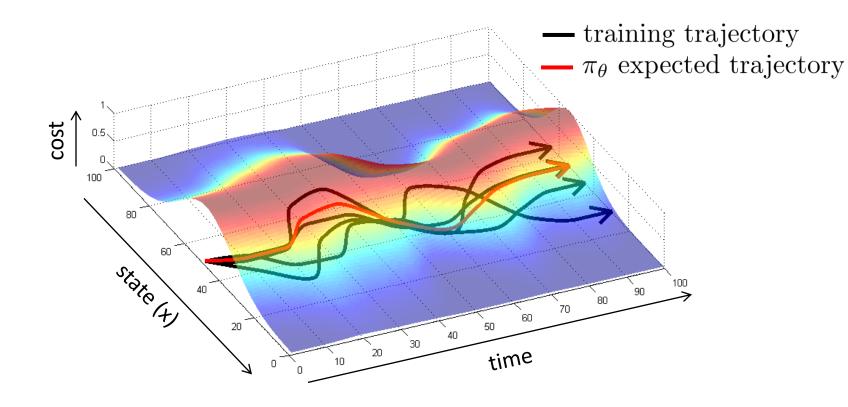
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What makes behavioral cloning **easy** and what makes it **hard**?



- Intentionally add mistakes and corrections
 - The mistakes hurt, but the corrections help, often more than the mistakes hurt

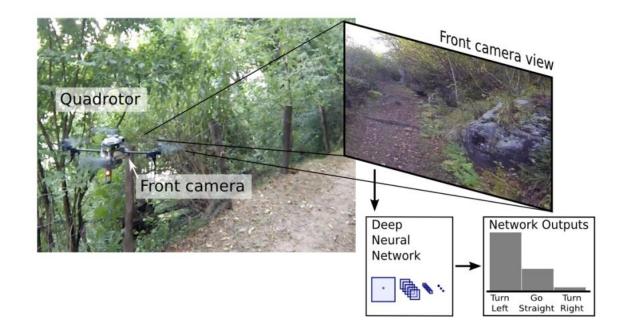
• Use data augmentation

 Add some "fake" data that illustrates corrections (e.g., sidefacing cameras)

Case study 1: trail following as classification

A Machine Learning Approach to Visual Perception of Forest Trails for Mobile Robots

Alessandro Giusti¹, Jérôme Guzzi¹, Dan C. Cireşan¹, Fang-Lin He¹, Juan P. Rodríguez¹ Flavio Fontana², Matthias Faessler², Christian Forster² Jürgen Schmidhuber¹, Gianni Di Caro¹, Davide Scaramuzza², Luca M. Gambardella¹



Case study 2: imitation with a cheap robot

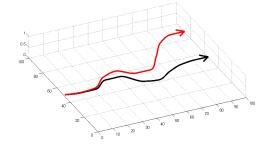
Vision-Based Multi-Task Manipulation for Inexpensive Robots Using End-To-End Learning from Demonstration

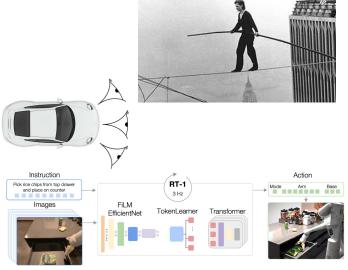
Rouhollah Rahmatizadeh, Pooya Abolghasemi, Ladislau Boloni, Sergey Levine

Rouhollah Rahmatizadeh et al., Vision-Based Multi-Task Manipulation for Inexpensive Robots Using End-To-End Learning from Demonstration. 2017.

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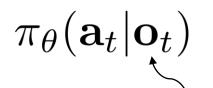






Why might we fail to fit the expert?

- 1. Non-Markovian behavior
- 2. Multimodal behavior



behavior depends only on current observation

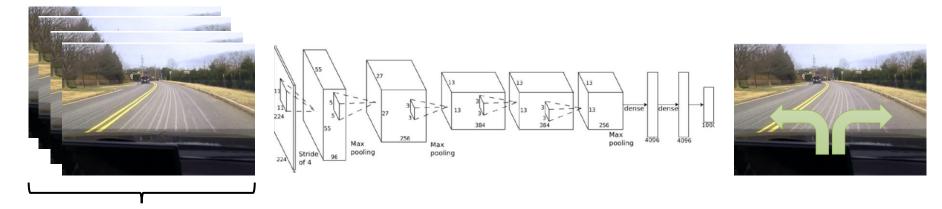
 $\pi_{\theta}(\mathbf{a}_t | \mathbf{o}_1, ..., \mathbf{o}_t)$

behavior depends on all past observations

If we see the same thing twice, we do the same thing twice, regardless of what happened before

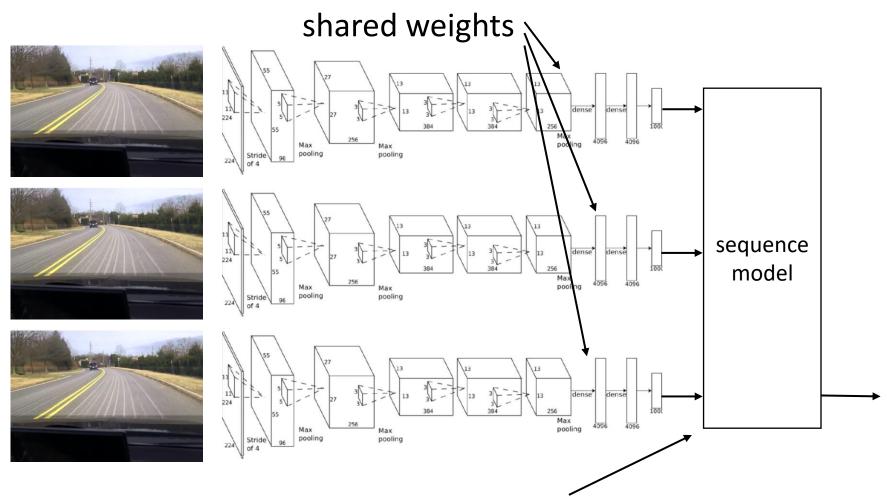
Often very unnatural for human demonstrators

How can we use the whole history?



variable number of frames, too many weights

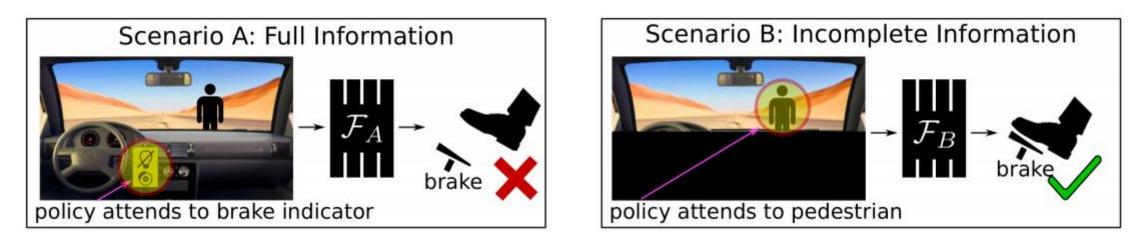
How can we use the whole history?



P.

Can be done with Transformers, LSTM cells, etc.

Aside: why might this work **poorly**?



"causal confusion"

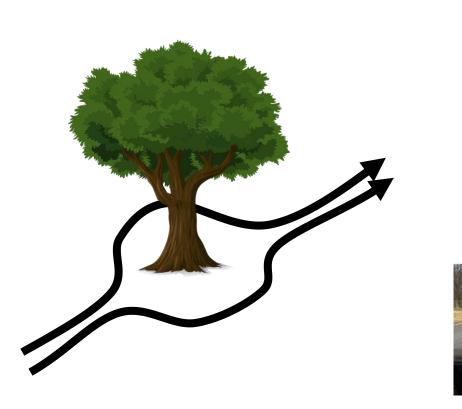
see: de Haan et al., "Causal Confusion in Imitation Learning"

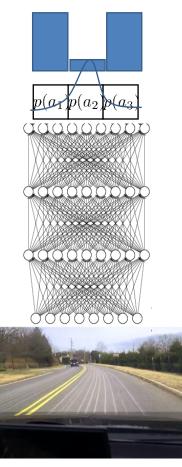
Question 1: Does including history mitigate causal confusion?

Question 2: Can DAgger mitigate causal confusion?

Why might we fail to fit the expert?

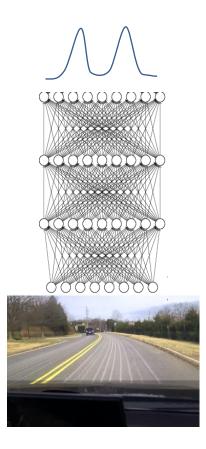
- 1. Non-Markovian behavior
- 2. Multimodal behavior





- 1. More expressive continuous distributions
- 2. Discretization with highdimensional action spaces

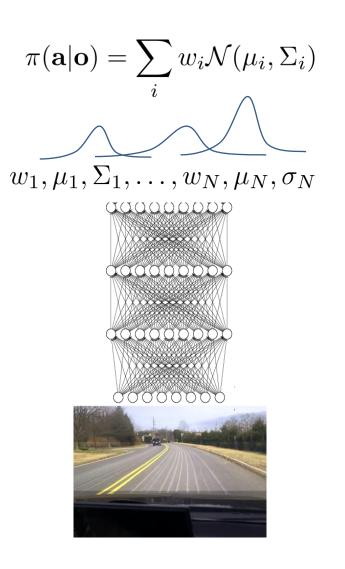




Quite a few options, many ways to make things work:

- 1. mixture of Gaussians
- 2. latent variable models
- 3. diffusion models

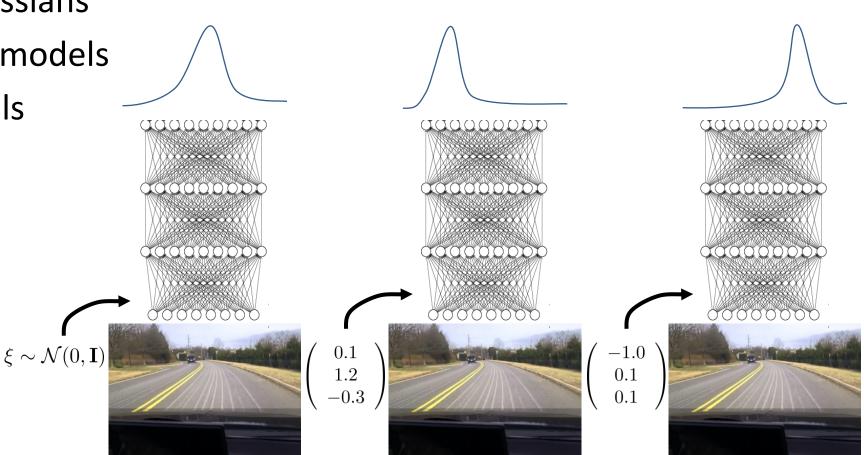
- 1. mixture of Gaussians
- 2. latent variable models
- 3. diffusion models



- 1. mixture of Gaussians
- 2. latent variable models
- 3. diffusion models

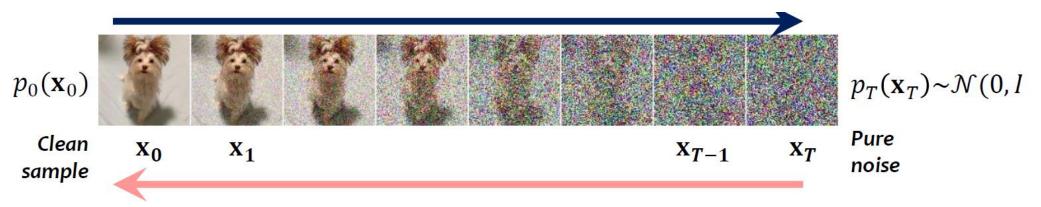
The most widely used type of model of this sort is the (conditional) variational autoencoder

We'll learn about such models later in the course



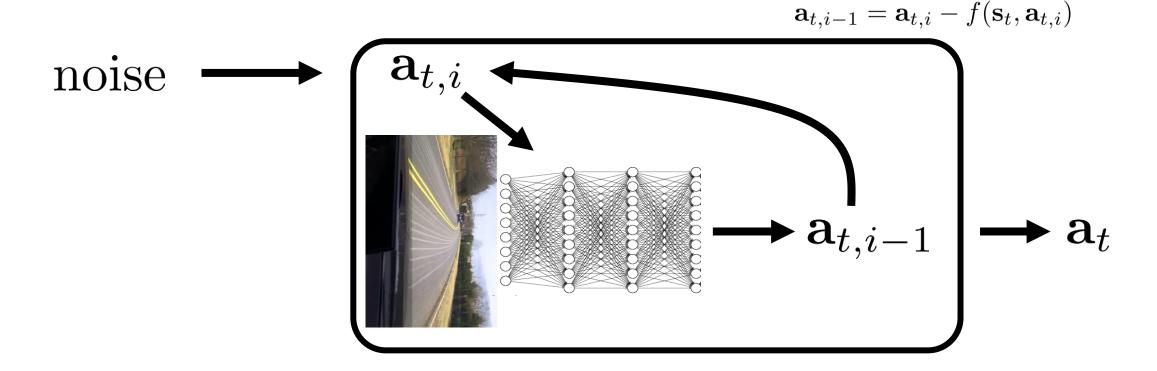
- 1. mixture of Gaussians
- 2. latent variable models
- 3. diffusion models

 $\begin{aligned} \mathbf{x_0} &= \text{true image} \\ \mathbf{x_{i+1}} &= \mathbf{x}_i + \text{noise} \\ \text{Learned network: } f(\mathbf{x}_i) &= \mathbf{x}_{i-1} \\ & (\text{actually use } f(\mathbf{x}_i) = \text{noise}) \\ & \mathbf{x}_{i-1} &= \mathbf{x}_i - f(\mathbf{x}_i) \end{aligned}$

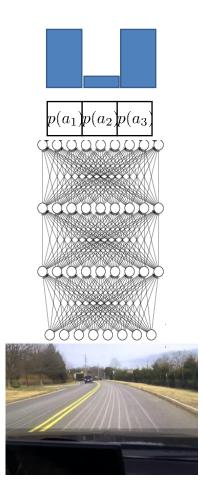


- 1. mixture of Gaussians
- 2. latent variable models
- 3. diffusion models

 $\begin{aligned} \mathbf{a_{t,0}} &= \text{true action} \\ \mathbf{a_{t,i+1}} &= \mathbf{a}_{t,i} + \text{noise} \\ \text{Learned network: } f(\mathbf{s}_t, \mathbf{a}_{t,i}) &= \mathbf{a}_{t,i-1} \\ &\quad (\text{actually use } f(\mathbf{s}_t, \mathbf{a}_{t,i}) = \text{noise}) \end{aligned}$



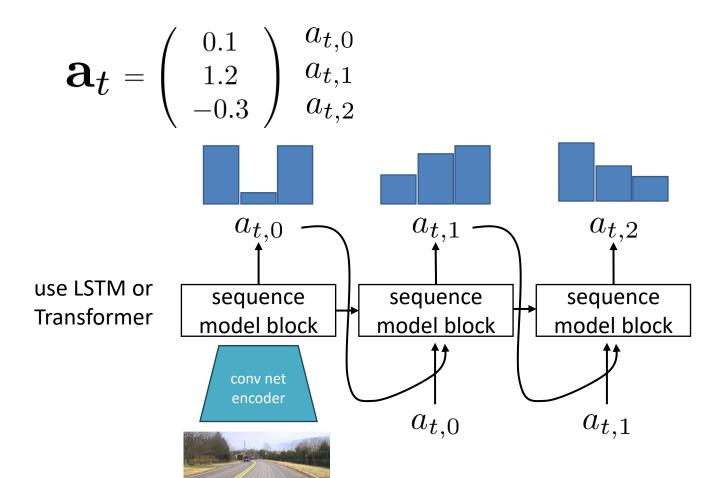
What about **discretization**?



Problem: this is great for 1D actions, but in higher dimensions, discretizing the full space is impractical

Solution: discretize one dimension at a time

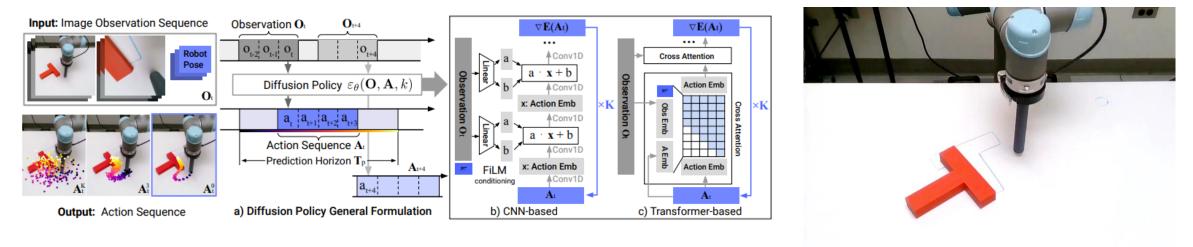
Autoregressive discretization

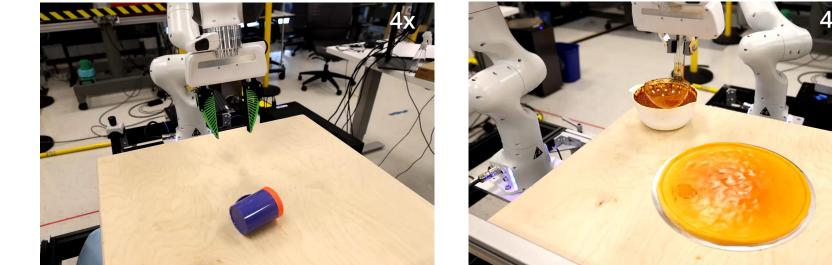


Why does this work? first step: $p(a_{t,0}|\mathbf{s}_t)$ second step: $p(a_{t,1}|\mathbf{s}_t, a_{t,0})$ third step: $p(a_{t,2}|\mathbf{s}_t, a_{t,0}, a_{t,1})$ $p(a_{t,2}|\mathbf{s}_t, a_{t,0}, a_{t,1})p(a_{t,1}|\mathbf{s}_t, a_{t,0})p(a_{t,0}|\mathbf{s}_t)$

$$= p(a_{t,0}, a_{t,1}, a_{t,2} | \mathbf{s}_t)$$
$$= p(\mathbf{a}_t | \mathbf{s}_t)$$

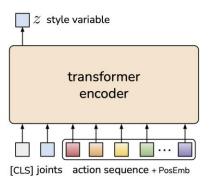
Case study 3: imitation with diffusion models

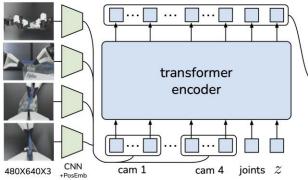


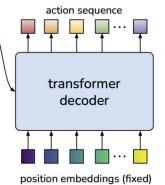


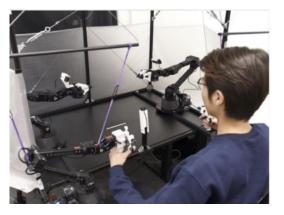
Chi et al. Diffusion Policy: Visuomotor Policy Learning via Action Diffusion. 2023

Case study 4: imitation with latent variables

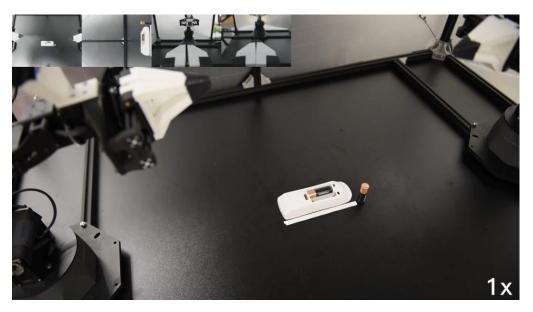






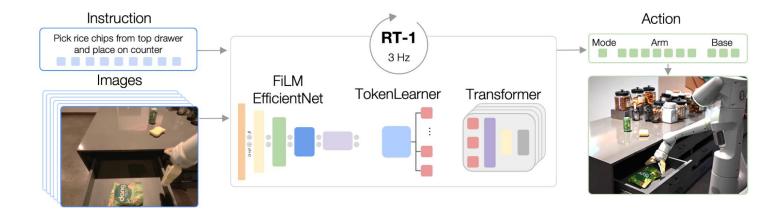






Zhao et al. Learning Fine-Grained Bimanual Manipulation with Low-Cost Hardware. 2023

Case study 5: imitation with Transformers





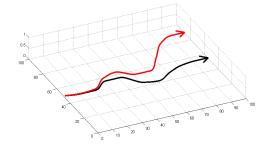
RT-1: Robotics Transformer for Real-World Control at Scale

Robotics at Google
 Everyday Robots
 Google Research

https://robotics-transformer.github.io/

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RT-1

TokenLearner

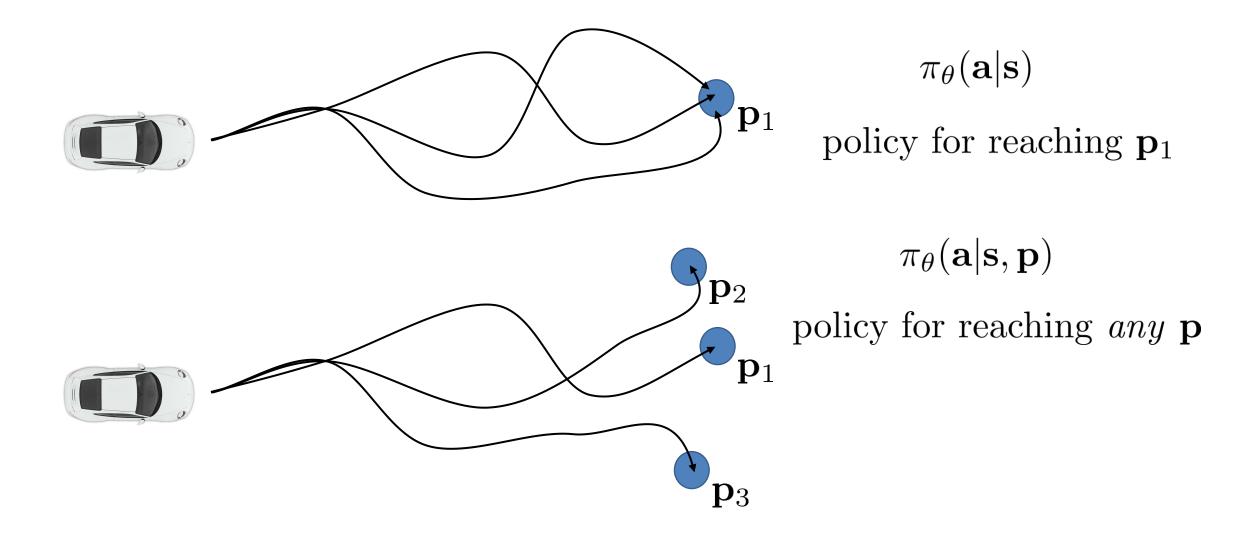
FiLM

EfficientNet

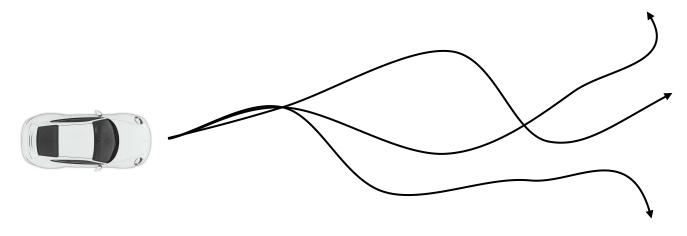
Instruction Pick rice chips from top drawer

and place on counter

Does learning **many** tasks become easier?



Goal-conditioned behavioral cloning



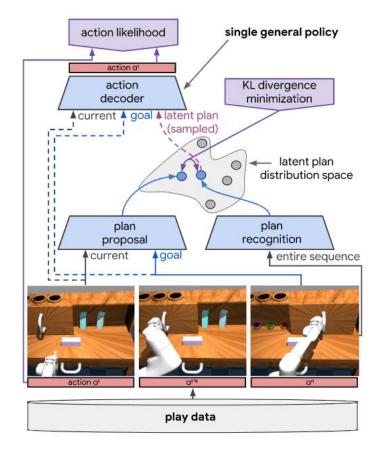
training time:

demo 1: $\{\mathbf{s}_1, \mathbf{a}_t, \dots, \mathbf{s}_{T-1}, \mathbf{a}_{T-1}, \mathbf{s}_T\}$ successful demo for reaching \mathbf{s}_T demo 2: $\{\mathbf{s}_1, \mathbf{a}_t, \dots, \mathbf{s}_{T-1}, \mathbf{a}_{T-1}, \mathbf{s}_T\}$ learn $\pi_{\theta}(\mathbf{a}|\mathbf{s}, \mathbf{g})$ \leftarrow goal state demo 3: $\{\mathbf{s}_1, \mathbf{a}_t, \dots, \mathbf{s}_{T-1}, \mathbf{a}_{T-1}, \mathbf{s}_T\}$ for each demo $\{\mathbf{s}_1^i, \mathbf{a}_1^i, \dots, \mathbf{s}_{T-1}^i, \mathbf{a}_{T-1}^i, \mathbf{s}_T^i\}$ We see distributional shift in **two** places here! maximize $\log \pi_{\theta}(\mathbf{a}_t^i | \mathbf{s}_t^i, \mathbf{g} = \mathbf{s}_T^i)$

Learning Latent Plans from Play

 COREY LYNCH
 MOHI KHANSARI
 TED XIAO
 VIKASH KUMAR
 JONATHAN TOMPSON
 SERGEY LEVINE
 PIERRE SERMANET

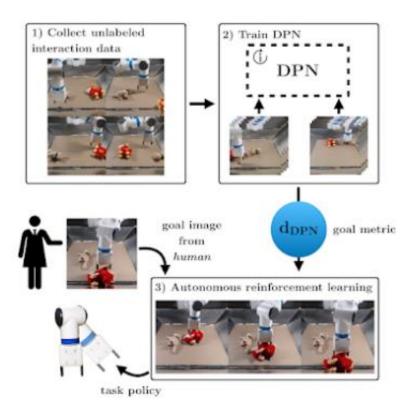
 Google Brain
 Google Brain



Unsupervised Visuomotor Control through Distributional Planning Networks

Tianhe Yu, Gleb Shevchuk, Dorsa Sadigh, Chelsea Finn

Stanford University



Learning Latent Plans from Play

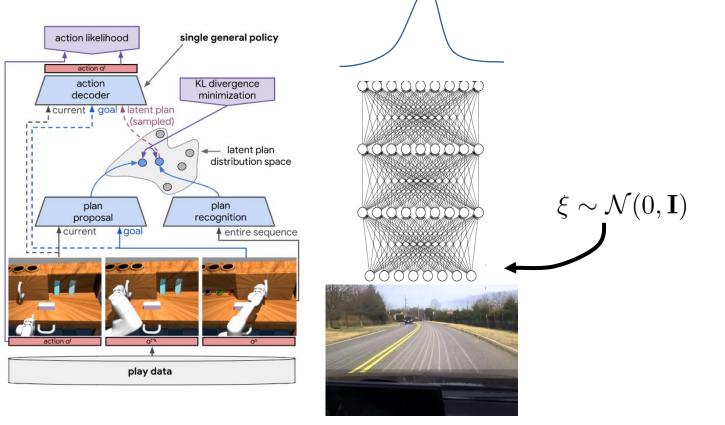
 COREY LYNCH
 MOHI KHANSARI
 TED XIAO
 VIKASH KUMAR
 JONATHAN TOMPSON
 SERGEY LEVINE
 PIERRE SERMANET

 Google Brain
 Google Brain

1. Collect data



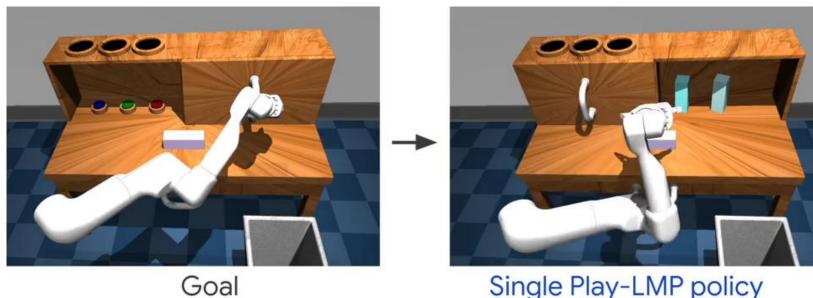
2. Train goal conditioned policy



Learning Latent Plans from Play

TED XIAO VIKASH KUMAR COREY LYNCH MOHI KHANSARI JONATHAN TOMPSON SERGEY LEVINE PIERRE SERMANET Google Brain Google Brain Google Brain Google Brain Google Brain Google X Google Brain

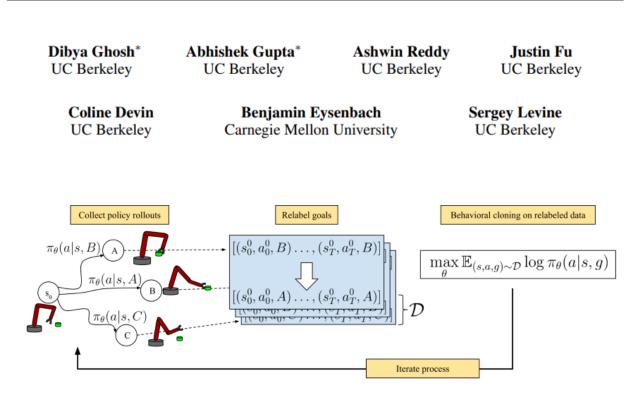
3. Reach goals



Single Play-LMP policy

Going **beyond** just imitation?

Learning to Reach Goals via Iterated Supervised Learning



- Start with a **random** policy
- Collect data with random goals
- Treat this data as "demonstrations" for the goals that were reached
- > Use this to improve the policy
- ➢ Repeat

Goal-conditioned BC at a huge scale

	Dataset	Platform	Speed	Amt.	Environment
1	GoStanford [26]	TurtleBot2	0.5m/s	14h	office
2	RECON [32]	Jackal	1m/s	25h	off-road
3	CoryHall [35]	RC Car	1.2m/s	2h	hallways
4	Berkeley [33]	Jackal	2m/s	4h	suburban
5	SCAND-S [36]	Spot	1.5m/s	8h	sidewalks
6	SCAND-J [36]	Jackal	2m/s	1h	sidewalks
7	Seattle [37]	Warthog	5m/s	1h	off-road
8	TartanDrive [38]	ATV	10m/s	5h	off-road
	Ours		60h		



RC-Car (Kahn et al. 2018)



Spot (Karnan et al. 2022)



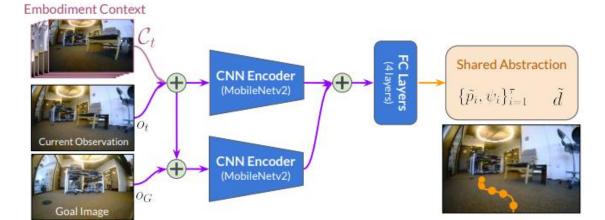
TurtleBot (Hirose et al. 2019)

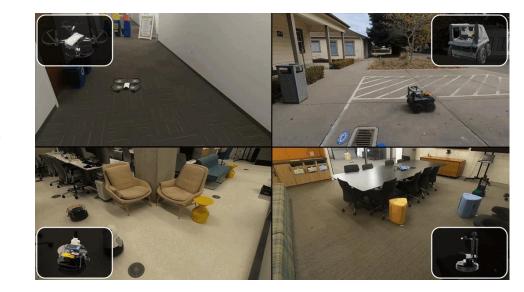
Warthog

(Shaban et al. 2021)



Jackal





Shah*, Sridhar*, Bhorkar, Hirose, Levine. GNM: A General Navigation Model to Drive Any Robot. 2022.

Also related (for later...)

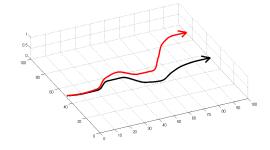
Hindsight Experience Replay

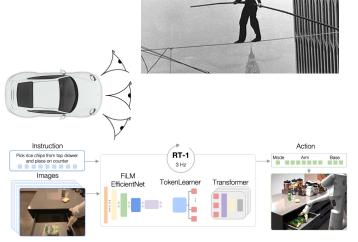
Marcin Andrychowicz*, Filip Wolski, Alex Ray, Jonas Schneider, Rachel Fong, Peter Welinder, Bob McGrew, Josh Tobin, Pieter Abbeel[†], Wojciech Zaremba[†] OpenAI

- Similar principle but with reinforcement learning
- This will make more sense later once we cover off-policy value-based RL algorithms
- Worth mentioning because this idea has been used widely outside of imitation (and was arguably first proposed there)

Where are we...

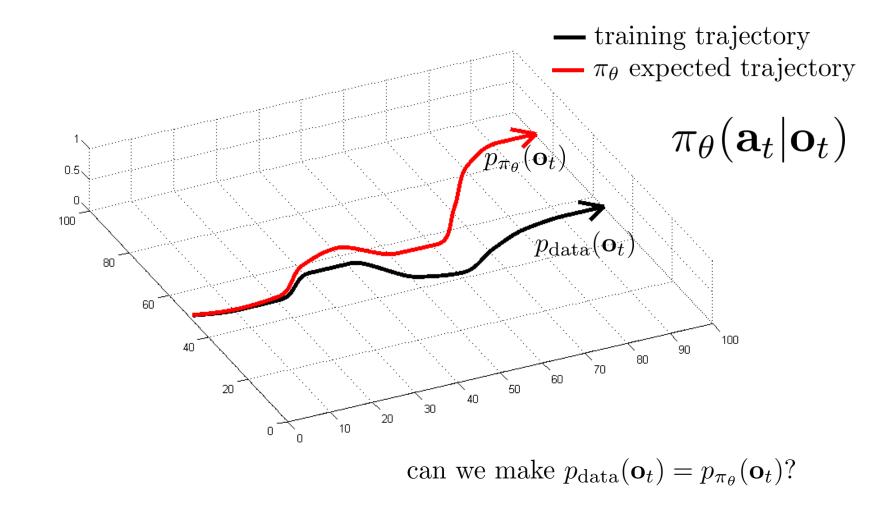
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 - Be smart about how we collect (and augment) our data
 - Use very powerful models that make very few mistakes
 - Use multi-task learning
 - Change the algorithm (DAgger)







Can we make it work more often?



Can we make it work more often?

can we make $p_{\text{data}}(\mathbf{o}_t) = p_{\pi_{\theta}}(\mathbf{o}_t)$?

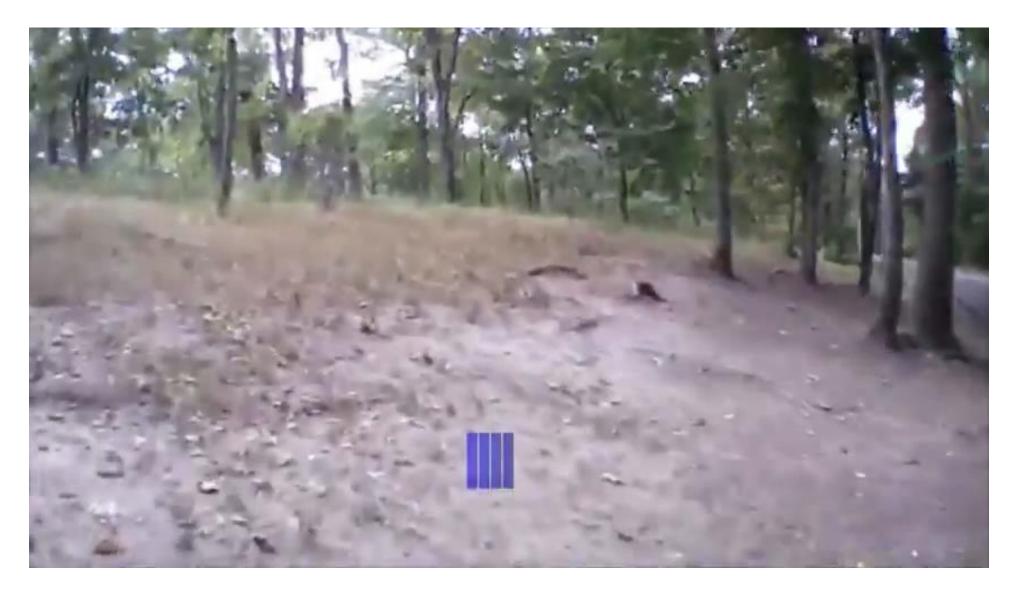
idea: instead of being clever about $p_{\pi_{\theta}}(\mathbf{o}_t)$, be clever about $p_{\text{data}}(\mathbf{o}_t)$!

DAgger: Dataset Aggregation

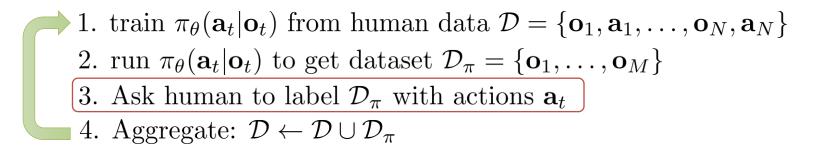
goal: collect training data from $p_{\pi_{\theta}}(\mathbf{o}_t)$ instead of $p_{\text{data}}(\mathbf{o}_t)$ how? just run $\pi_{\theta}(\mathbf{a}_t | \mathbf{o}_t)$ but need labels \mathbf{a}_t !

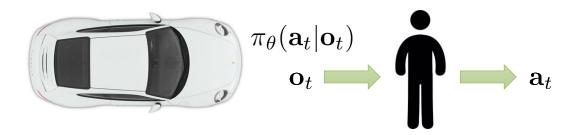
1. train $\pi_{\theta}(\mathbf{a}_t | \mathbf{o}_t)$ from human data $\mathcal{D} = \{\mathbf{o}_1, \mathbf{a}_1, \dots, \mathbf{o}_N, \mathbf{a}_N\}$ 2. run $\pi_{\theta}(\mathbf{a}_t | \mathbf{o}_t)$ to get dataset $\mathcal{D}_{\pi} = \{\mathbf{o}_1, \dots, \mathbf{o}_M\}$ 3. Ask human to label \mathcal{D}_{π} with actions \mathbf{a}_t 4. Aggregate: $\mathcal{D} \leftarrow \mathcal{D} \cup \mathcal{D}_{\pi}$

DAgger Example



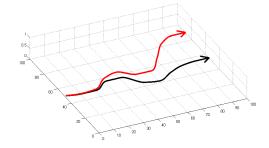
What's the problem?

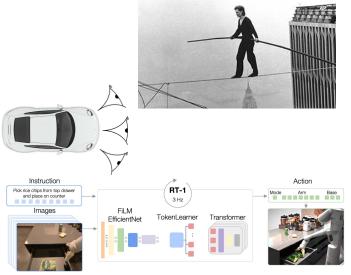




Recap

- Imitation learning via behavioral cloning is not guaranteed to work
 - This is **different** from supervised learning
 - The reason: i.i.d. assumption does not hold!
- We can formalize why this is and do a bit of theory
- We can address the problem in a few ways:
 - Be smart about how we collect (and augment) our data
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Cost functions and reward functions, a preview of what comes next

Imitation learning: what's the problem?

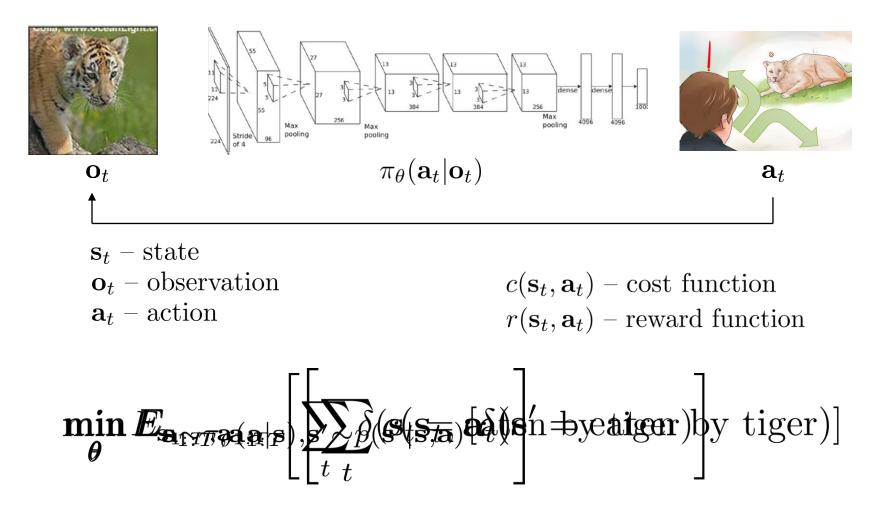
- Humans need to provide data, which is typically finite
 - Deep learning works best when data is plentiful
- Humans are not good at providing some kinds of actions





- Unlimited data from own experience
- Continuous self-improvement

Terminology & notation



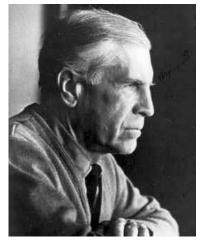
Aside: notation

$$\mathbf{s}_t$$
 - state
 \mathbf{a}_t - action
 $r(\mathbf{s}, \mathbf{a})$ - reward function

 $\mathbf{x}_t - ext{state}$ $\mathbf{u}_t - ext{action}$ $c(\mathbf{x}, \mathbf{u}) - ext{cost function}$



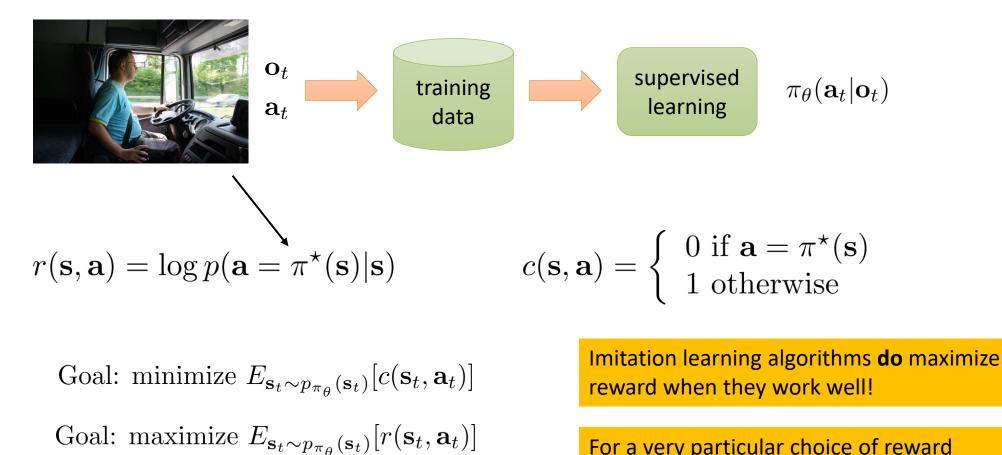
 $r(\mathbf{s}, \mathbf{a}) = -c(\mathbf{x}, \mathbf{u})$



Lev Pontryagin

Richard Bellman

A cost function for imitation?



For a very particular choice of reward